



COMBINED DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION  
AND POWER OF ATTORNEY

- ☐ Declaration Submitted with Initial Filing OR  
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (*if only one name is listed below*) or an original, first, and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD OF POLISHING OR PLANARIZING A SUBSTRATE

the specification of which:

- ☐ is attached hereto.  
☒ was filed on December 15, 2000 as Application No. 09/737,905 and was amended on February 28, 2002 (*if applicable*).  
☐ was filed by Express Mail No. \_\_\_\_\_ as Application No. \_\_\_\_\_, and was amended on (*if applicable*).  
☐ was filed on \_\_\_\_\_ as PCT International Application No. PCT/\_\_\_\_\_ and was amended on (*if any*).

I state that I have reviewed and understand the contents of the specification identified above, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I claim foreign priority benefits under 35 USC 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application(s) designating at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application(s) for patent, utility model, design registration, inventor's or plant breeder's rights certificate(s), or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter and having a filing date before that of the application(s) from which the benefit of priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Claimed		Certified Copy Attached?	
			YES	NO	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

As a named inventor, I hereby appoint Phyllis T. Turner-Brim (Reg. No. 39,864) as well as any other patent attorney and/or agent registered with this office under Customer Number 29050, to prosecute and transact all business in the U.S. Patent and Trademark Office connected with the present application.



**29050**

PATENT TRADEMARK OFFICE

I further appoint the attorneys of Leydig, Voit, & Mayer, Ltd. (Customer Number 23460) as Associate Attorneys.



**23460**

PATENT TRADEMARK OFFICE

Correspondence concerning this application should be directed to Cabot Microelectronics Corporation:  
Customer Number 29050.



**29050**

PATENT TRADEMARK OFFICE

I declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor:

James A. DIRKSEN

Inventor's Signature:

Date: 7/11/02

Residence Address:  
(complete mailing address)

225 Ashley Court  
Oswego, IL, 60543, US

Citizenship:

US

Full name of second joint inventor:

David W. BOLDRIDGE

Inventor's Signature:

Date: July 11, 2002

Residence Address:  
(complete mailing address)

455 Windsor Drive  
Oswego, IL, 60543, US

Citizenship:

US

Full name of **third joint inventor**:

Gautam S. GROVER

Inventor's Signature:

Date: G. S. Grover 6/10/02

Residence Address:  
(complete mailing address)  
Citizenship:

925 Shoreline Drive  
Aurora, IL, 60504, US  
US

\* \* \* \*



PATENT  
Attorney Docket No. 207617

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Art Unit: 1765

Dirksen et al.

Examiner: V. Perez-Ramos

Application No. 09/737,905

Filed: December 15, 2000

For: METHOD OF POLISHING OR  
PLANARIZING A SUBSTRATE

**CONSENT OF ASSIGNEE TO ADD PERSON WHO WAS NOT  
PREVIOUSLY NAMED AS INVENTOR IN PATENT APPLICATION**  
(37 C.F.R. § 1.48(a)(5))

The undersigned assignees of the entire interest in the above-mentioned patent application hereby assents to the addition of the person who was not previously named as inventor as identified in the petition that this assent accompanies.

Signed:

Date

Name: H. Carol Bernstein

Title: Vice President, Secretary  
and General Counsel

Company: Cabot Microelectronics Corporation  
870 Commons Drive  
Aurora, IL 60504

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PATENT

Attorney Docket No. 207617

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dirksen et al.

Application No. 09/737,905

Filed: December 15, 2000

For: METHOD OF POLISHING OR  
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Art Unit: 1765

Examiner: V. Perez-Ramos

STATEMENT ACCOMPANYING PETITION ADDING A PERSON WHO WAS  
NOT PREVIOUSLY NAMED AS INVENTOR IN PATENT APPLICATION  
(37 C.F.R. § 1.48(a)(2))

I, the person signing below, whose name is being added as an inventor, hereby state that my name was not added to this application as an inventor by error and that this error was without deceptive intention on my part.

Signed:

  
Gautam Grover

6/10/02  
Date

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